PTC/SB/21 (09-04) Approved for use through 07/31/2006 OMB 0651-0031

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number **Application Number** 09/964,385 TRANSMITTAL Filing Date September 28, 2001 **FORM** First Named Inventor Kirkpatrick

2463

Eng

Art Unit

**Examiner Name** 

Typed or printed name

Total	Number of	Pages in	This Submission	5	Attorney Docket Number	r 2	20009.0043	3US01 (E	3S01-170	
ENCLOSURES (Check all that apply)										
	Fee Transmittal Form Fee Attached  Amendment/Reply After Final Affidavits/declaration(s)  Extension of Time Request Express Abandonment Request Information Disclosure Statement				Drawing(s)  Licensing-related Papers  Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Address Terminal Disclaimer Request for Refund CD, Number of CD(s)  Landscape Table on CD		After Allowance Communication to TC  Appeal Communication to Board of Appeals and Interferences  Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)  Proprietary Information  Status Letter  Other Enclosure(s) (please Identify below):  Return Postcard PTO SB08 Form Check in the amount of \$180.00			
Certified Copy of Priority Document(s)  Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53			arts/ tion ssing Parts	Remai	rks					
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT										
Firm Name WITHERS & KEYS, LLC			RS & KEYS, LLC							
Signature			ani	Z /	<b>1</b>					
Printed name Jeramie J. Keys			J. Keys	7						
Date January 19, 2005			19, 2005				g. No.	42,724		
CERTIFICATE OF TRANSMISSION/MAILING										
sufficient		as first c								ited States Postal Service with Alexandria, VA 22313-1450 on
Signatur			Jano	m	i kup					
Typed or	r printed r	name	Jeramie J. Keys		,				Date	January 19, 2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA. 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/17 (12-04)

Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE he Panerwork Reduction Act of 1995, no nersons are required to respond to a collection of information unless it displays a valid OMR control number

FEE TI	Effective on 12/08/2004. Fees pursuant to the Consolidated Appropriations Act. 2005 (H.R. 4818).					Complete if Known					
				pplication Numl	ber 09	1/964,38	35				
			F1	iling Date							
F	or FY 20	)05	Fi	irst Named Inve	entor						
Applicant claims on	— E:	xaminer Name									
Applicant claims small entity status. See 37 CFR 1.27				rt Unit							
TOTAL AMOUNT OF PA	AYMENT (\$)	180,00	Af	ttorney Docket	No.						
METHOD OF PAYME	NT (check all	that apply)									
Check Cred	it Card $\square_N$	1oney Order	None	Other (pl	ease identify	):					
Deposit Account											
		ccount, the Director i									
Charge fee	e(s) indicated bel	iow		Charge	fee(s) indic	cated below, excep	at for the fili	6			
		s) or underpayments	of fee(s)			·	or ioi tile iiii	ng i			
under 37 C	FR 1.16 and 1.1	17	. ,	Cledit	any overpay						
WARNING: Information on t information and authorizati	on on PTO-2038.	ome public. Credit ca	ira intorm	auon snould no	t be included	on this form. Provi	de credit care	d			
FEE CALCULATION											
1. BASIC FILING, SE			ES		**						
	FILING F	EES S mail Entity	SEARCH			TION FEES					
Application Type	Fee (\$)		ee (\$)	mall Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fees Paid	d (\$)			
Utility	300	150 5	500	250	200	100					
Design	200	100 1	100	50	130	65					
Plant	200	100 3	300	150	160	80					
Reissue	300	150 5	500	250	600	300					
Provisional	200	100	0	0	0	0					
2. EXCESS CLAIM FE Fee Description	EES							nall			
	for Reissues.	each claim over 20	0 and mo	ore than in the	e original i	natent	Fee (\$) 50	Fee			
Each claim over 20 or.	ioi itoissaes,	D :	J wild life	ore man in an	c original p	patent					
Each claim over 20 or, Each independent claim	n over 3 or, fo	r Reissues, each in	1depend	ent claim moi	re than in t	the original pater	nt 200	- 10			
Each independent clain Multiple dependent cla	n over 3 or, fo iims						nt 200 360	18			
Each independent clain Multiple dependent cla <u>Total Claims</u>	n over 3 or, fo iims <u>Extra Claims</u>	Fee (\$)	ndepende Fee Palo		Multiple D	ependent Claims	360				
Each independent clain Multiple dependent cla Total Claims - 20 or HP = HP = highest number of tot	m over 3 or, fo nims Extra Claims	Fee (\$)				ependent Claims	360				
Each independent claim Multiple dependent cla Total Claims 20 or HP = HP = highest number of tot Indep. Claims	n over 3 or, fo ims Extra Claims ial claims paid for, Extra Claims	Fee (\$) x =		<u>d (\$)</u>	Multiple D	ependent Claims	360				

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450 DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

eramil

09/964,385 PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Mark A. Kirkpatrick

Examiner:

Not yet assigned

RADEM Serial No.:

09/964,385

Group Art Unit:

2643

Filed:

September 28, 2001

Docket No.:

20009.0043US01 (BS01-170)

Title:

Device, System and Method for Augmenting Cellular Telephone Audio

Signals

CERTIFICATE UNDER 37 CFR 1.8:

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on January 19, 2005.

Mame: Jeramie J. Keys

## INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(c)(2)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed SB08 form are brought to the attention of the Examiner.

This statement should be considered because it is submitted after a first office action on the merits but before an action closing prosecution. This information disclosure statement is being submitted with the appropriate fee due.

In accordance with 37 C.F.R. §1.98(a)(2), a copy of each foreign patent document and non-patent literature listed on the enclosed SB08 form is provided.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Application Number: 09/964,385

Attorney Docket Number: 20009.0043US01

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached SB08 form, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge any additional fees or credit any overpayment to Deposit Account No. 503025.

WITHERS & KEYS, LLC P.O. Box 71355 Marietta. GA 30007-1355 678-565-4748

Name: Jeramie J. Keys Reg. No.: 42,724

Initials: JJK

Approved for use through 07/31/2006 OMB 0651-0031

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

Sheet 1

Pacol

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known						
Application Number	09/964,385					
Filing Date	Septemer 28, 2001					
First Named Inventor	Kirkpatrick					
Art Unit	2463					
Examiner Name	Eng					
Attorney Docket Number	20009.0043US01 (BS01-170)					

Examiner	Cite	Document Number	U. J. PAIEN	DOCUMENTS	
Initials*	No.	Number-Kind Code <sup>2</sup> (************************************	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevan Figures Appear
	1	<sup>US-</sup> 2002/0111189	08/15/2002	Chou	rigules Appeal
	2	<sup>US-</sup> 2002/0019250	02/14/2002	Le-Faucheur	
	3	<sup>US-</sup> 2002/0160751	10/31/2002	Yingju	
·	4	<sup>US-</sup> 2003/0032415	02/13/2003	Cho	
	5	<sup>US-</sup> 2001/0014616	08/16/2001	Matsuda	
	6	<sup>US-</sup> 2003/0008646	01/09/2003	Shanahan	
	7	<sup>US-</sup> 2003/0176206	09/18/2003	Taniguchi	
	8	<sup>US-</sup> 20001/0044331	11/22/2001	Miyoshi	
	9	<sup>US-</sup> 2001/0041590	11/15/2001	Silbufenig	
		US-			

FOREIGN PATENT DOCUMENTS									
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages				
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>3</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T			
						L			
		-				$\vdash$			
			_			<u>_</u>			

Date	
Signature	
Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www uspto gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST 3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office. P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.